DT03 Rec'd PCT/PTO 2 1 JAN 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER 1	ATTORNEY'S DOCKET NUMBER 102699-102							
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. 41 knows 373 FR 1.5)						
INTERNATIONAL APPLICATION NO. PCT/CA2003/001189	INTERNATIONAL FILING DATE 8 August 2003	PRIORITY DATE CLAIMED 16 August 2002						
TITLE OF INVENTION PHOSPHONIUM AND IMIDAZOLIUM	M SALTS AND METHODS OF THEIR	PREPARATION						
APPLICANT(S) FOR DO/EO/US Zhou, Yuehui et al.								
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.								
2. This is a SECOND or SUBSEQUEN	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4. X The US has been elected (Article 31	X The US has been elected (Article 31).							
5. X A copy of the International Applica	X A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
a. X is attached hereto (required only if not communicated by the International Bureau).								
b. X has been communicated	b. X has been communicated by the International Bureau.							
c. is not required, as the ap	c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
a. is attached hereto.	a. is attached hereto.							
b. Light has been previously sub	b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7. Amendments to the claims of the I	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
a. are attached hereto (required only if not communicated by the International Bureau).								
b. L have been communicat	b. have been communicated by the International Bureau.							
d. Light have not been made ar	d. have not been made and will not be made.							
8. An English language translation o	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. An oath or declaration of the inven	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (Unsigned)							
10. An English language translation of Article 36 (35 U.S.C. 371(c)(5)).	the annexes of the International Preliminary E	xamination Report under PCT						
Items 11 to 20 below concern documen	t(s) or information included:	·						
11. X An Information Disclosure Statement	ent under 37 CFR 1.97 and 1.98.							
12. An assignment document for recor	rding. A separate cover sheet in compliance wit	th 37 CFR 3.28 and 3.31 is included.						
13. X A preliminary amendment.	A preliminary amendment.							
14. An Application Data Sheet under 3	37 CFR 1.76.							
15. A substitute specification.								
16. A power of attorney and/or change	e of address letter.							
17. A computer-readable form of the s	equence listing in accordance with PCT Rule 1	3ter.2 and 37 CFR 1.821- 1.825.						
18. A second copy of the published Int	A second copy of the published International Application under 35 U.S.C. 154(d)(4).							
Motific	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Notification of Receipt of Demand, International Preliminary Report, PCT Request Form							
20. X Other items or information:								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CER 1.5) INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER 102699-102			
21. The following fees are submitted:					Applicant use	Office use only	
X a) Basic national fee\$300.00					\$300.00		
X b) Examination fee\$200.00					\$ 200.00		
X c) Search fee\$500.00					\$ 500.00		
TOTAL OF ABOVE CALCULATIONS = \$1000.00					\$ 1000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra sheets	ra sheets Number of each additional 50 or fracthereof (round up to a whole number)		RATE			
24 - 100 =	0 /50 =			x \$250.00	\$0		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$		
CLAIMS	NUMBER FIL	.ED	NUMBER EXTRA	RATE	\$		
Total claims	20	- 20 =	0	x \$50.00	\$ 0		
Independent clai	ms 2	- 3 =	0	x \$200.00	\$0		following
MULTIPLE DEPI	ENDENT CLAIM(S) (if ap	plicable)		+ \$360.00	\$ 360		18 B
TOTAL OF ABOVE CALCULATIONS =					\$ 360		8 9
Applicant c by 1/2.	laims small entity status.	See 37 CFR	1.27. The fees indicated abov	e are reduced	\$		
SUBTOTAL =				\$ 1360.00		the	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0		receive i	
TOTAL NATIONAL FEE =				\$1360.00		# §	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0			
TOTAL FEES ENCLOSED =					\$ 1360.00		H _{ot}
Amount to be refunded:						\$	TO did item(s)
Amount to be charged						\$	PTO 1 Item
a. A check in the amount of \$ to cover the above fees is enclosed. b. X Please charge my Deposit Account No in the amount of \$ 1360.00 to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.23-1665 A duplicate copy of this sheet is enclosed.							
			NING: Information on this form d information and authorization		olic. Credit card informa	tion should not	
NOTE: Where a	n appropriate time limit restore the Internationa	under 37 CF I Applicatior	R 1.495 has not been met, a to pending status.	201	E VEI TARRERALI DUM	: 231665 10521973	521973 3
SEND ALL COR	RESPONDENCE TO:				/	00 DA	
	ual Property Law Section 27267 SIGNATURE Harvey Agosto						
P.O. Box 18							
New Haven, CT 06508-1832 Telephone: (203)498-4400 REGISTRATION NUMBER					ON NUMBER		

CERTIFICATION UNDER 37 CFR §1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date, <u>January 21, 2005</u>, in an envelope marked "Express Mail" Mailing Label No. EV 544765902 US, addressed to Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450.

Harvey Agosto

Printed Name of Person Mailing Paper

Signature of Person Mailing Paper

- Transmittal Letter Form PTO-1390 (2 Copies)
- Preliminary Amendment
- PCT Request, Form PCT/RO/101
- Published copy of WO2004/016631 with International Search Report
- PCT Demand Notice, Form PCT/IPEA/401
- PCT International Preliminary Examination Report, Form PCT/IPEA/416
- Information Disclosure Statement
- Form PTO-1449
- Copies of Cited Art (6 Pieces)
- Oath and Declaration of Inventors {unsigned}
- Return Receipt Postcard